## **Splay Trees**

Kuan-Yu Chen (陳冠宇)

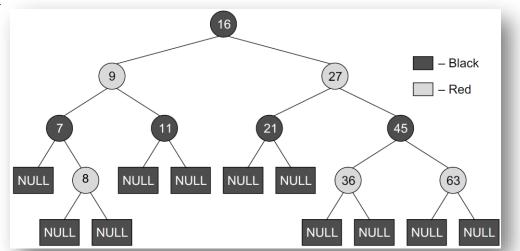
2020/10/28 @ TR-212, NTUST

# Schedule

- Homework 2 will be announced at 11/9 (Mon.)
- Midterm exam will be held at 11/16 (Mon.)
  11/11 (Wed.) is our study holiday!

## Review

- Red-Black Trees
  - A red-black tree is a binary search tree in which every node has a color which is either **red** or **black**
    - 1. The color of a node is either red or black
    - 2. The color of the root node is always black
    - 3. All leaf nodes are black
    - 4. Every red node has both the children colored in black
    - 5. Every simple path from a given node to any of its leaf nodes has an equal number of black nodes

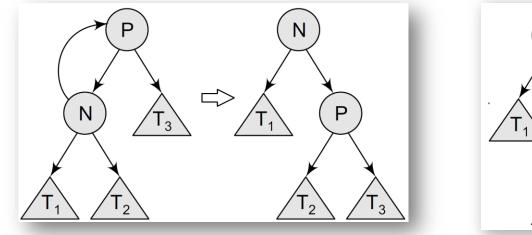


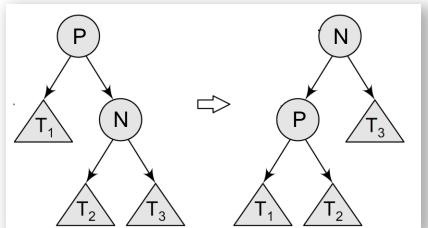
#### **SPLAY Trees**

- Splay trees were invented by Daniel Sleator and Robert Tarjan, 1985
- A splay tree is a **self-balancing binary search tree** with an additional property that **recently accessed elements can be re-accessed fast** 
  - A simple idea behind it is that if an element is accessed, it is likely that it will be accessed again
- For many **non-uniform** sequences of operations, splay trees perform better than other search trees

# **SPLAY Trees – Splaying.**

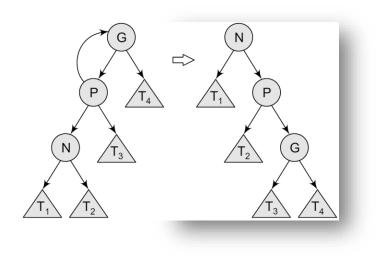
- Splaying is performed on the node N to move it to the root
  - Zig Step
    - The zig operation is done when *P* (the parent of *N*) is the root of the splay tree

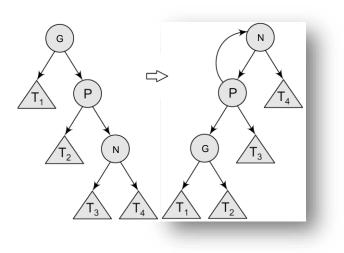




# **SPLAY Trees – Splaying..**

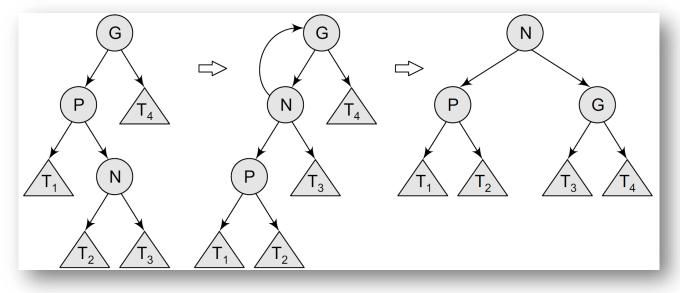
- Splaying is performed on the node N to move it to the root
  - Zig-zig Step
    - The zig-zig operation is performed when P is not the root
    - Besides, *N* and *P* are either both right or left children of their parents





# **SPLAY Trees – Splaying...**

- Splaying is performed on the node *N* to move it to the root
  - Zig-zag Step
    - The zig-zag operation is performed when P is not the root
    - In addition to this, *N* is the right child of *P* and *P* is the left child of *G* or vice versa

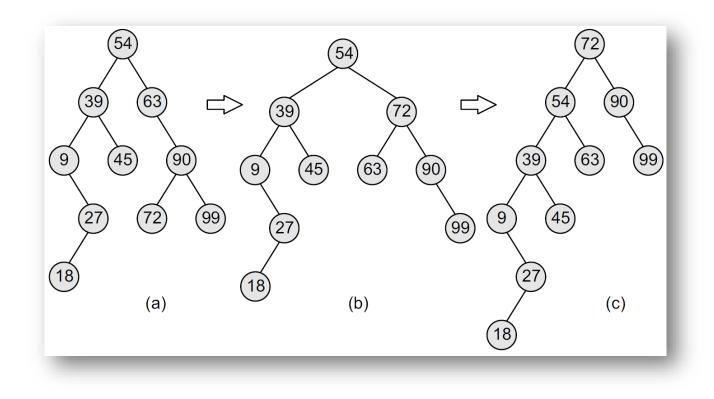


## **SPLAY Trees – Search**

- For searching a particular node *N* 
  - If the node is present in the splay tree
    - 1. A pointer to *N* is returned (return YES!)
    - 2. Splay the node
  - If the search is unsuccessful (the splay tree does not contain)
    - 1. A pointer to the null node is returned (return NULL)
    - 2. Splay the tree at the last non-null node reached during the search

### Example

• Searching 81 for a given splay tree

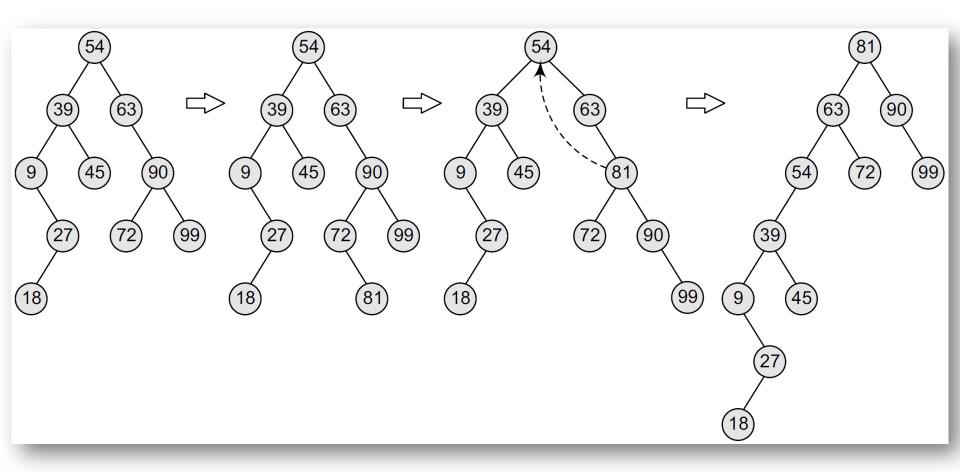


## **SPLAY Trees – Insertion**

- The steps performed to insert a new node *N* in a splay tree can be given as follows
  - Search *N* in the splay tree
    - 1. If the search is successful, splay at the node N
    - 2. If the search is unsuccessful
      - Add the new node N in such a way that it replaces the NULL pointer reached during the search by a pointer to a new node N
      - Splay the tree at *N*

# Example

• Insert 81 into a given Splay tree

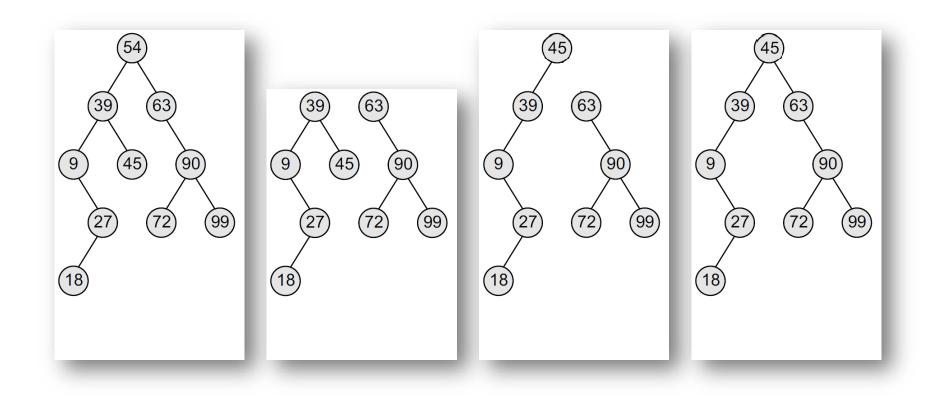


## **SPLAY Trees – Deletion**

- To delete a node *N*, use the same method as with a binary search tree
  - Search for *N* that has to be deleted
    - If the search is **unsuccessful**, splay the tree at the last non-null node encountered during the search
    - If the search is **successful** and *N* is **not the root** node
      - a) Delete *N* and replace *N* by an appropriate node
      - b) Splay the parent of *N* to the top of the tree
    - If the search is **successful** and *N* is the **root** node
      - a) Delete the node N
      - b) Two sub trees are then joined
        - Splay the largest item S in left sub tree
        - Set the right sub tree to be the right sub tree of root *S*

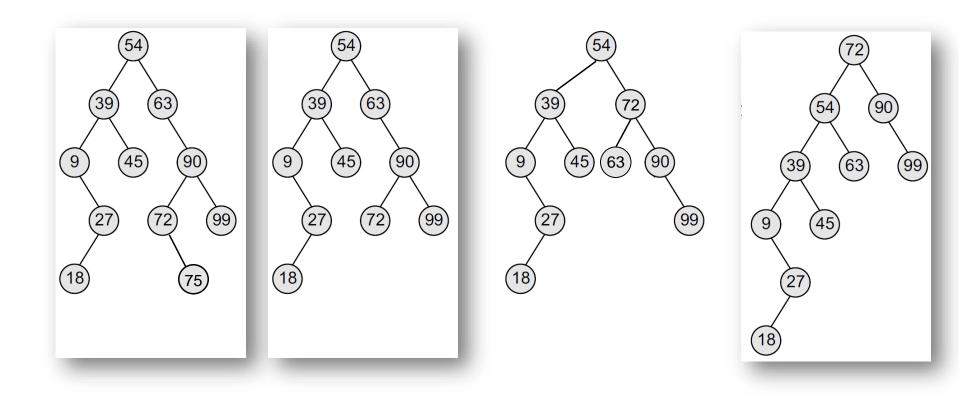
#### Example.

• Delete 54 from a given Splay tree



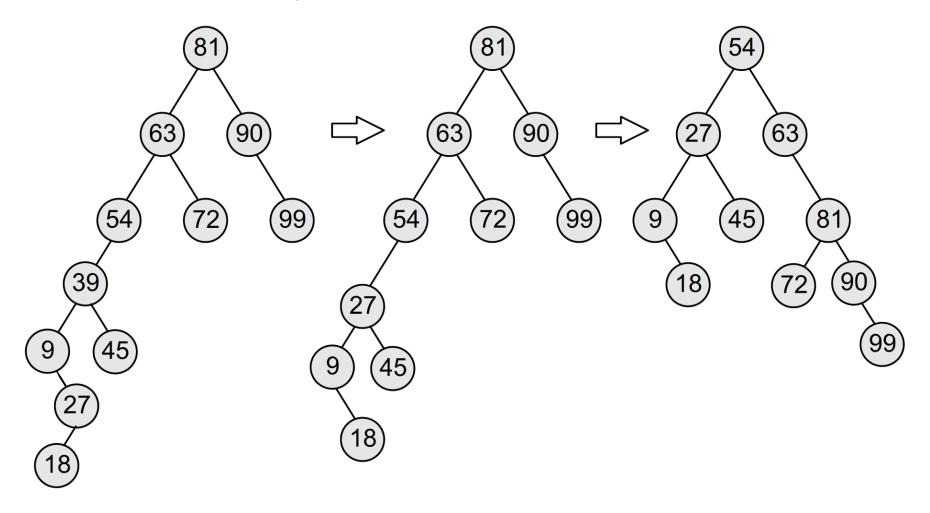
#### Example..

• Delete 75 from a given Splay tree



#### Example...

• Delete 39 from a given Splay tree



# **Pros and Cons.**

- The advantages of using a splay tree are:
  - Splay tree is a self-balancing and a self-optimizing data structure
    - The frequently accessed nodes are moved closer to the root so that they can be accessed quickly
    - It is particularly useful for implementing **caches** and garbage collection algorithms (memory management)
  - Splay trees are considerably simpler to implement than the other self-balancing binary search trees (red-black trees or AVL trees), while their average case performance is just as efficient
  - Splay trees minimize memory requirements as they do not store any book-keeping data

## **Pros and Cons..**

- The demerits of splay trees include:
  - While sequentially accessing all the nodes of a tree in a sorted order, the resultant tree becomes completely unbalanced
  - For uniform access, the performance of a splay tree will be considerably worse than a somewhat balanced simple binary search tree

#### **Questions?**



#### kychen@mail.ntust.edu.tw